Editor’s Summary

Positive and Negative Outcomes

Usually people want the good news first, to help cope with the bad news that inevitably follows. However, patients will soon desire both the positive and the negative outcomes together, according to the latest study by Ozkumur and colleagues. These authors have developed a multistage microfluidic device that is capable of sorting rare circulating tumor cells (CTCs) that are either positive or negative for the surface antigen epithelial cell adhesion molecule (EpCAM).

EpCAM+ cells found in the bloodstream have long defined the typical CTC. Many sorting technologies have been developed to enumerate EpCAM+ CTCs in cancer patient's blood; however, these cells are not always detectable in cancers with low EpCAM expression, like triple-negative breast cancer or melanoma. Ozkumur et al. engineered an automated platform, called the "CTC-iChip," that captured both EpCAM+ and EpCAM− cancer cells in clinical samples using a series of debulking, inertial focusing, and magnetic separation steps. The sorted CTCs could then be interrogated using standard clinical protocols, such as immunocytochemistry. The authors tested the "positive mode" of their device using whole blood from patients with prostate, lung, breast, pancreatic, and colorectal cancers. After successfully separating out the EpCAM+ CTCs, they confirmed that the cells were viable and had high-quality RNA for molecular analysis, in one example, detecting the EML4-ALK gene fusion in lung cancer. Using the "negative mode" of their device, the authors were able to capture EpCAM− CTCs from patients with metastatic breast cancer, pancreatic cancer, and melanoma. The isolated CTCs showed similar morphology when compared with primary tumor tissue from these patients, suggesting that the microfluidic device can be used for clinical diagnoses—delivering both positive and negative news at once.

Ozkumur et al. also demonstrated that CTCs isolated using the iChip could be analyzed on the single-cell level. One such demonstration with 15 CTCs from a prostate cancer patient reveals marked heterogeneity in the expression of mesenchymal and stem cell markers as well as typical prostate cancer−related antigens. The CTC-iChip can therefore process large volumes of patient blood to obtain not just EpCAM+ CTCs but also the EpCAM− ones, thus giving a broader picture of an individual's cancer status and also allowing the device to be used for more cancer types. With the ability to further analyze the molecular characteristics of CTCs, this CTC-iChip could be a promising addition to current diagnostic tools used in the clinic.
Inertial Focusing for Tumor Antigen–Dependent and –Independent Sorting of Rare Circulating Tumor Cells


Circulating tumor cells (CTCs) are shed into the bloodstream from primary and metastatic tumor deposits. Their isolation and analysis hold great promise for the early detection of invasive cancer and the management of advanced disease, but technological hurdles have limited their broad clinical utility. We describe an inertial focusing–enhanced microfluidic CTC capture platform, termed “CTC-iChip,” that is capable of sorting rare CTCs from whole blood at 10^7 cells/s. Most importantly, the iChip is capable of isolating CTCs using strategies that are either dependent or independent of tumor membrane epitopes, and thus applicable to virtually all cancers. We specifically demonstrate the use of the iChip in an expanded set of both epithelial and nonepithelial cancers including lung, prostate, pancreas, breast, and melanoma. The sorting of CTCs as unfixed cells in solution allows for the application of high-quality clinically standardized morphological and immunohistochemical analyses, as well as RNA-based single-cell molecular characterization. The combination of an unbiased, broadly applicable, high-throughput, and automatable rare cell sorting technology with generally accepted molecular assays and cytology standards will enable the integration of CTC-based diagnostics into the clinical management of cancer.

INTRODUCTION

The rarity of circulating tumor cells (CTCs) in the blood of cancer patients has required development of highly specialized technologies for their isolation (1, 2). Once detected, enumeration and molecular characterization of CTCs have been applied to prognostic classifications of breast, prostate, and colon cancers (3), and to predictive markers of targeted drug therapy in lung cancer (4). However, the limited sensitivity of commercially available approaches combined with the complexity and heterogeneity of the disease has restricted the broad acceptance and dissemination of CTC-based diagnostics (5).

Several strategies have been used to process blood for analysis of CTCs, including platforms for rapid scanning of unpurified cell populations (6–8). The most common enrichment approaches have used antibodies against the cell surface epithelial cell adhesion molecule (EpCAM). Labeling CTCs with anti-EpCAM–coated beads, followed by bulk magnetic enrichment methods (9–11), has been tested. The U.S. Food and Drug Administration (FDA)–approved Veridex system, CellSearch, immunomagnetically labels CTCs and then enriches the cells by bulk purification across a magnetic field. Conceptually, EpCAM-based CTC capture may have limited ability to identify tumor cells with reduced expression of this epithelial marker as a result of the epithelial–mesenchymal transition (EMT) (12). However, tumor antigen–independent CTC enrichment, such as bulk depletion of hematopoietic cells, suffers from poor yields and low purity (13, 14).

Together, CTC isolation approaches have traditionally involved multiple batch processing steps, resulting in substantial loss of CTCs (14).

Recently, we introduced microfluidic methods to improve the sensitivity of CTC isolation (15), a strategy that is particularly attractive because it can lead to efficient purification of viable CTCs from unprocessed whole blood (16–21). The micropost CTC-Chip (µCTC-Chip) relies on laminar flow of blood cells through anti-EpCAM antibody-coated microposts (15), whereas the herringbone CTC-Chip (HBCT-Chip) uses microvortices generated by herringbone-shaped grooves to direct cells toward antibody-coated surfaces (16). Although promising, these methods require surface functionalization to bind to tumor antigens on CTCs and thus yield CTCs that are immobilized within a microfluidic chamber and are not readily subjected to either standard clinical cytopathological imaging or single-cell molecular characterization.

To address the shortcomings of the current approaches, we developed a strategy that combines the strengths of microfluidics for rare cell handling while incorporating the benefits of magnetic-based cell sorting. After the magnetic labeling of cells in whole blood, this capture platform integrates three sequential microfluidic technologies within a single automated system: (i) debulking by separation of nucleated cells, including CTCs and white blood cells (WBCs), from red blood cells (RBCs) and platelets using deterministic lateral displacement (22); (ii) alignment of nucleated cells within a microfluidic channel using inertial focusing (23); and (iii) deflection of magnetically tagged cells into a collection
channel. In essence, these three integrated microfluidic functions replace bulk RBC lysis and/or centrifugation, hydrodynamic sheath flow in flow cytometry, and magnetic-activated cell sorting (MACS). We call this integrated microfluidic system the CTC-iChip, based on the inertial focusing strategy, which allows positioning of cells in a near-single file line, such that they can be precisely deflected using minimal magnetic force. This integrated microfluidic platform, with its ability to isolate CTCs in suspension using both tumor antigen–dependent and tumor antigen–independent modes, is compatible with high-definition imaging and single-cell molecular analyses, as well as standard clinical cytopathology. We demonstrate its capabilities for diverse cancer diagnostic applications in both epithelial and nonepithelial cancers.

RESULTS

CTC-iChip design and function
The overall CTC-iChip isolation strategy is depicted in Fig. 1 and fig. S1. We explored two modes of immunomagnetic sorting to isolate CTCs: a positive selection mode (posCTC-iChip), whereby CTCs are identified and sorted on the basis of their expression of EpCAM, and a negative selection mode (negCTC-iChip), in which the blood sample is depleted of leukocytes by immunomagnetically targeting both the common leukocyte antigen CD45 and the granulocyte marker CD15. Target cell labeling was developed and characterized for both operational modes (fig. S2). After labeling, the first stage within the CTC-iChip used hydrodynamic size-based sorting to achieve low shear microfluidic debulking of whole blood (22, 24). RBCs, platelets, plasma proteins, and free magnetic beads were discarded, whereas nucleated cells (WBCs and CTCs) were retained and presented to the second stage for inertial focusing. The efficient removal of free beads is critical because these may accumulate in the magnetophoresis channel and significantly reduce the sensitivity and specificity of the approach.

The operational principle of microfluidic debulking is based on hydrodynamic size–dependent deterministic lateral displacement (22, 24), in which coincident flow of cell-containing and cell-free solutions through an array of microposts leads to rapid size-based separation (Fig. 1C and fig. S3). We tested two different array configurations with gaps between...
microposts of 20 or 32 µm. An array with 20-µm gaps retains virtually all nucleated cells with minimal contaminating RBCs but has a cutoff for cells larger than 21 µm and may therefore lose large CTCs or CTC clusters. In contrast, an array with 32-µm gaps has an extended operating range for cells between 8 and 30 µm but retains only 60% of WBCs. Because the cells lost in the 32-µm gap array are granulocytes and lymphocytes that are smaller than the reported CTC sizes (16), we selected this array for the CTC-iChip.

The second CTC-iChip component orders nucleated cells within the microfluidic channel, both laterally and longitudinally, so they can be precisely deflected into a collection channel with minimal magnetic moment. The rationale underlying the inertial focusing of cells in microchannels is based on the principles of pipe flow (23,25); essentially, a cellular fluid entering asymmetric, curved channels emerges as a tight row of individual cells traveling within a defined streamline position (Fig. 1D). We tested variable cell suspensions for focusing performance; WBCs as well as cancer cell lines were well focused within the operational parameters (hematocrit less than 0.4%; flow rate between 50 and 150 µl/min; nucleated cell concentration less than 3 × 10⁶/ml) (fig. S4). Inertial focusing operational parameters were matched to output of the preceding debulking array, and the in-line integration of these complex microfluidic structures within the CTC-iChip thus avoided cell losses associated with commonly used batch processing strategies.

In the final CTC-iChip component, magnetically labeled cells are separated from unlabeled cells within a deflection channel. The precise control over cell position provided by inertial focusing prevents cellular collisions during magnetophoresis; therefore, cell displacement occurs as a predictable function of magnetic load. We modeled the forces exerted on cells labeled with 1-µm beads using a quadrupole magnetic circuit (fig. S5) and predicted deflection patterns under different flow and magnetic load conditions (Fig. 2A). This model was tested using magnetically labeled PC3-9 human prostate cancer cells. The measured deflection distance, plotted as a function of magnetic load, matched the prediction (Fig. 2B).

To demonstrate the dependence of sensitivity on flow speed, we processed labeled cells at various flow rates and quantified the number of beads per cell for deflected and nondetected outputs (Fig. 2C and fig. S6). The improvement in sensitivity with increasing magnetic residence time (by reducing flow speed) correlated with the predictive model (Fig. 2D), indicating high magnetic sensitivity for the overall system (5 to 20 beads per cell, depending on cell size). The process parameters characterized for the P⁺CTC-iChip applied similarly to the P⁻CTC-iChip.

Evaluating the CTC-iChip using cells spiked into whole blood
To evaluate the efficiency of the CTC-iChip, we spiked five cell lines spanning a broad range of EpCAM expression into healthy whole blood and isolated using P⁺CTC-iChip or P⁻CTC-iChip modes. The EpCAM expression of each cell line was quantified by comparing the anti-EpCAM signal to that of a matched irrelevant antibody (Fig. 3A). Recovery of SKBR3 human breast cancer cells [24-fold EpCAM signal over control immunoglobulin G (IgG)] was 98.6 ± 4.3% (mean ± SD), and capture of human prostate PC3-9 cancer cells (3.7-fold EpCAM signal) was 89.7 ± 4.5% (Fig. 3B). Even cells with minimal EpCAM expression, such as MDA-MB-231 (26), a “triple-negative” mesenchymal breast cell line, were well focused and captured with minimal RBC contamination by choosing 15- by 15-µm microposts (16). An array with 20- or 32-µm gaps has an extended range of capture for cells larger than 21 µm. The process parameters characterized for the P⁺CTC-iChip applied similarly to the P⁻CTC-iChip.
cancer cell line (only 2.5-fold EpCAM signal over control), were recovered with 77.8 ± 7.8% capture efficiency by posCTC-iChip. Virtually complete abrogation of EpCAM expression, achieved by ectopic expression of the EMT master regulator LBX1 in MCF10A human breast cancer cells (MCF10A-LBX1) (27), resulted in 10.9 ± 3.0% capture efficiency.

Switching to the negCTC-iChip, both the epithelial parental MCF10A cells and their highly mesenchymal MCF10A-LBX1 derivatives were captured at equal efficiency (96.7 ± 1.9% for MCF10As and 97.0 ± 1.7% for the MCF10A-LBX1 derivatives) (Fig. 3B). Together, these two modes demonstrate the flexibility of the CTC-iChip to isolate a broad spectrum of rare cells with high efficiency in both tumor antigen-dependent and tumor antigen-independent modes.

Sample purity was analyzed for both operating modes. Using EpCAM-based positive selection, we achieved an average >3.5-log purification (mean, 1500 WBCs/ml of whole blood; range, 67 to 2537 WBCs/ml). In the leukocyte depletion mode, purification was 2.5 log (mean, 32,000 WBCs/ml; range, 17,264 to 39,172 WBCs/ml) (Fig. 3C). Together, these two modes demonstrate the flexibility of the CTC-iChip to isolate a broad spectrum of rare cells with high efficiency in both tumor antigen-dependent and tumor antigen-independent modes.

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The detection cutoff of 0.5 CTC/ml was more than 2 SDs above the mean number of CK+ cells detected in 13 healthy donors (excluding an outlier with 0.7/ml; mean ± SD, 0.17 ± 0.12/ml; median, 0.19/ml; range, 0 to 0.33/ml). WBC contamination in the posCTC-iChip product was low (mean, 1188/ml; median, 352/ml; range, 58 to 9249/ml), resulting in high sample purities (mean, 7.8%; median, 0.8%; range for samples with ≥0.5 CTC/ml, 0.02 to 43%) (fig. S7).

We performed a detailed comparison of the posCTC-iChip with the FDA-approved CellSearch system (Fig. 4B). To minimize reagent variability between platforms, we used anti-EpCAM capture as well as CK and CD45 staining antibodies from the same source, and consistent criteria were used to evaluate putative CTCs. CTCs were defined as DAPI+/CD45−/CK+, and WBCs were defined as DAPI+ or DAPI+/CD45+ events. Specimens from prostate (n = 19) and other cancers (breast, n = 12; pancreas, n = 6; colorectal, n = 2; lung, n = 2) were compared. Although both assays performed well with high CTC loads (>30 CTCs/7.5 ml), at lower CTC numbers, there was a marked differential in capture efficiency. Among the 86% (36 of 42) of metastatic cancer patients with fewer than 30 CTCs/7.5 ml, the number of CK+ CTCs isolated with the posCTC-iChip was significantly higher in 22 cases (P < 0.001, paired t test analysis). The remaining 14 cases had CTCs below detection limits for both systems (Fig. 4B and table S2). Thus, the sensitivity of the CTC-iChip is particularly critical in patients with a lower CTC burden.

In addition to capturing more CTCs in patients with lower CTC burdens, the iChip isolates these cells in suspension, which in turn enables their immobilization on a standard glass slide for high-resolution imaging and standard clinical cytopathological examination (fig. S8), as well as simultaneous staining for multiple biomarkers (Fig. 4, C and D). Beyond imaging, molecular genetic tools are increasingly applied to the characterization of CTCs. Nowhere is this more evident than in non–small cell lung cancer (NSCLC), where targeted therapies can provide marked clinical benefit (28). Among the most challenging assays is detection of the EML4-ALK translocation in about 3% of cases, which marks those responsive to the selective

![Fig. 3.](image-url) Evaluation of overall system performance using cancer cell lines spiked into whole blood. (A) Quantitation of variable EpCAM expression in five cell lines using flow cytometry. (B) Capture yield of positive selection and negative depletion modes using various cell lines expressing different levels of EpCAM. (C) Background in posCTC-iChip product is measured, achieving >3.5-log depletion of WBCs. In contrast, posCTC-iChip has an order of magnitude lower purification. In both (B) and (C), each data point is an experimental result. Upper and lower bounds of the boxes signify the 75th and 25th quantiles, respectively. Perpendicular line in the box represents median value, and data points left above or below the error bars are outliers.
targeted inhibitor crizotinib. Detection of this intrachromosomal translocation by fluorescence in situ hybridization (FISH) is difficult, and, at the molecular level, the variability of chromosomal breakpoints necessitates RNA-based detection of the fusion transcript, which cannot be readily achieved using either fixed CTCs or free plasma nucleic acids.

We established a reverse transcription polymerase chain reaction (RT-PCR) assay capable of detecting the \textit{EML4-ALK} translocation in H3122 lung cancer cells spiked into WBCs at a purity of 0.1% or introduced into whole blood (10 cells/10 ml) and processed through the \textit{pos}CTC-iChip (Fig. 4E). In patient specimens, the \textit{EML4-ALK} transcript was detected in CTCs from four cases known to have this chromosome rearrangement by FISH analysis of the primary tumor. It was absent in CTCs from two NSCLC patients and one patient with prostate cancer whose tumors were all known to lack this abnormality. In cases where CTC-based RNA analysis identified the expected product, nucleotide sequencing confirmed the breakpoint in the fusion transcript (Fig. 4F). Thus, the \textit{pos}CTC-iChip allowed purification of CTCs for RNA-based molecular genotyping.

**Fig. 4.** CTC isolation by \textit{pos}CTC-iChip in cancer patients. (A) CTCs isolated from castrate-resistant prostate cancer (CRPC) patients were enumerated and compared with blood specimens processed from healthy donors. (B) EpCAM-based isolation using \textit{pos}CTC-iChip was compared with the CellSearch system. Clinical samples were metastatic cancer patients of prostate (\(n = 19\)), breast (\(n = 12\)), pancreas (\(n = 6\)), colorectal (\(n = 2\)), and lung (\(n = 2\)). All counts were normalized to 7.5 ml. (C) For enumeration of CTCs from CRPC patients, CK8/18/19 staining was used (green). CD45 antigen (red) was used to identify contaminating leukocytes. Scale bars, 10 \(\mu\)m. (D) A CTC from a CRPC patient was stained for prostate-specific antigen (PSA) (red), prostate-specific membrane antigen (PSMA) (yellow), and DAPI (blue) to demonstrate dual immunofluorescence staining for PSAs. (E) Validation of \textit{EML4-ALK} RT-PCR assay was completed with cell lines. \textit{pos}CTC-iChip products of whole blood from a healthy donor (HD) spiked with 0, 10, and 100 H3122 cells (expressing \textit{EML4-ALK} variant 1) per 10 ml were subjected to RT-PCR for detection of the \textit{EML4-ALK} fusion. Product isolated from healthy donor blood spiked with 500 VCaP cells/ml was processed as a negative control. (F) \textit{pos}CTC-iChip products from patient samples known to harbor the \textit{EML4-ALK} translocation by FISH were similarly processed as in (E), and the bands were sequenced to confirm the presence of the fusion transcript. A representative sequence trace from patient 3 shows the translocation breakpoint between exon 13 of \textit{EML4} and exon 20 of \textit{ALK}. CTC analysis of three patients whose cancer lacks the translocation was used to establish specificity: a prostate cancer patient (lane 1), an EGFR mutant lung cancer patient (lane 2), and a HER2-amplified lung cancer patient (lane 6).
negCTC-iChip to isolate CTCs

Given the heterogeneity of circulating cancer cells, including the subset thought to undergo EMT, depletion of normal blood cells from clinical specimens should allow characterization of unlabeled nonhematopoietic cells. We analyzed CTCs from 10 patients with metastatic breast cancer, including luminal (ER⁺/PR⁺, n = 6), triple-negative (ER⁻/PR⁻/HER2⁻, n = 2), and HER2⁺ (n = 2) subtypes. Triple-negative breast cancers are noteworthy in that they express primarily mesenchymal markers and are unlikely to be captured efficiently using positive selection for EpCAM⁺ cells (20).

We stained the enriched CTC specimens using the Papanicolaou (Pap) stain, which is used for cytopathology analysis in clinical laboratories. In selected cases, the hematoxylin and eosin (H&E)–stained primary tumor tissue was compared with Pap-stained fine needle aspirates (FNAs) of the tumor or pleural effusions from the same patient. A remarkably similar morphological appearance was evident between cancer cells in the primary breast tumors and the isolated CTCs, as shown for three different patients in Fig. 5A. An ER⁺ breast cancer patient revealed small and regularly shaped cells in H&E, cytology, and CTC samples. Similarly, larger and more irregular tumor cells were found in a HER2⁺ primary breast cancer by H&E cytology and CTC analysis. In another example from a triple-negative high-grade breast cancer patient, pleomorphic CTCs similar to the patient’s previously sampled cytology specimen were seen.

We extended these morphological analyses to pancreatic cancer and melanoma with similar findings (Fig. 5A). For these, pancreatic adenocarcinoma showed CTCs of comparable size to the primary tumor by both histology and Pap cytology. Conversely, melanoma consisted of dyshesive tumor cells. The spindled cytoplasm in melanoma was also

**Fig. 5.** Classification of CTCs with cytopathology and ICC. (A) Specimens from H&E-stained primary and metastatic tumors (upper row) are compared with matched Pap-stained cytology samples from FNAs or pleural effusions (FNA/E) (middle row) and Pap-stained CTCs enriched from blood samples of the same patient using negCTC-iChip (lower row). Marked morphological similarity is seen between isolated CTCs and main tumors or cytology samples. (B) ICC profiles of primary and metastatic tumors (upper panel) matched to CTCs from the same patient (lower panel). All images: ×1000 original magnification. Scale bar is 30 μm and valid for all images.
seen on the cytology preparation, but the CTCs appeared round. As a neural crest–derived malignancy, melanoma cells do not express EpCAM, and hence, their detection requires the negCTC-iChip isolation mode. Nevertheless, on the basis of established cellular and nuclear morphology criteria, our CTC analyses were considered to be of sufficient quality to enable a clinical diagnosis of suspicious for malignancy.

Pap-stained CTC slides were destained and then subjected to immunocytochemistry (ICC), which was first validated through cell lines (fig. S9). ICC of CTCs identified estrogen receptor (ER) protein in luminal breast cancer cells, keratin in triple-negative cells, and strong HER2 staining in cells from HER2+ breast cancers (Fig. 5B and fig. S10). Similarly, CTCs from patients with pancreatic cancer stained positive by ICC for CK, and CTCs from melanoma patients stained positive for the melanocytic marker Melan-A (Fig. 5B and fig. S10). The combination of Pap staining followed by ICC enabled enumeration of CTCs isolated by negCTC-iChip despite the presence of surrounding leukocytes.

Not all cytologically suspicious cells (for example, large cells with large, irregular nuclei as identified on the Pap-stained CTC slide) could be confirmed as tumor cells by ICC staining. Conversely, cells that were not scored as CTCs on initial cytological evaluation were subsequently identified as tumor cells by ICC, reflecting substantial heterogeneity in CTC size and morphology (fig. S11). Thus, by not relying exclusively on immunofluorescence-based scoring of CK+ cells, we were able to apply to CTCs the same rigorous morphological and immunohistochemical criteria used by clinical cytopathologists in the diagnosis of malignancy.

We observed large variation in CTC size among different cancer types. Although some CTCs were larger than leukocytes, there was considerable overlap between the two cell populations (Fig. 6). The variation in CTC size was not restricted to different cancer histologies. In one patient with ER+/PR+ breast cancer whose CTCs were isolated using the negCTC-iChip and analyzed using a combination of Pap stain and ICC, we identified CTCs ranging from 9 to 19 µm in diameter.

**Fig. 6.** Variation of CTC sizes and morphologies. (A) CTCs from breast cancer and melanoma patients consecutively stained with Pap and either anti-CK (breast) or anti-Melan-A (melanoma) antibodies. (B) Quantitative analysis of the effective diameter (maximum feret diameter) for individual cells isolated in three cases. The top two panels are from different melanoma patients (M1 and M2). The bottom panel is from a breast cancer patient (B3). (C) Occasional very large cells with ample cytoplasm and multilobed nuclei were initially considered suspicious but were CK−. The same cells were subsequently restained for the platelet marker CD61, which supports their identification as circulating megakaryocytes. (D) CTCs were occasionally observed as clusters and confirmed by positive CK staining. All images: x1000 original magnification. Scale bar, 30 µm.
Although most melanoma CTCs were large in size (12 μm), one patient with metastatic melanoma had numerous CTCs less than 10 μm in diameter, detected using Pap and ICC for Melan-A (Fig. 6A and B). In breast cancer and melanoma patients, some very large atypical cells (30 μm) identified by Pap staining as having multinucleated nuclei were at first assumed to be CTCs. However, ICC staining for the platelet marker CD61 confirmed their identity as megakaryocytes (Fig. 6C). Finally, application of the negCTC-iChip platform identified clusters of two marker CD61 confirmed their identity as megakaryocytes (Fig. 6C). Finally, application of the negCTC-iChip platform identified clusters of two marker CD61 confirmed their identity as megakaryocytes (Fig. 6C). Finally, application of the negCTC-iChip platform identified clusters of two marker CD61 confirmed their identity as megakaryocytes (Fig. 6C).

**Single-cell RNA expression in CTCs**

Global CTC expression analyses may identify major pathways involved in metastasis (20), but the inherent heterogeneity of CTCs necessitates the identification of expression patterns and signaling pathways within individual cells. We therefore applied a series of single-cell micromanipulation approaches to interrogate individual CTCs isolated from a patient with prostate cancer using the negCTC-iChip. Although micromanipulation approaches require expertise and can be time-consuming, the fact that the CTCs are unadulterated allows for more accurate RNA-based expression profiling than isolated fixed cells. EpCAM+ CTCs were distinguished from contaminating CD45+ leukocytes within the negCTC-iChip product by immunostaining (Fig. 7A and B). CTCs identified as EpCAM+/CD45− were individually isolated and subjected to RNA analysis by multigene microfluidic quantitative RT-PCR (qRT-PCR), profiling for a panel of transcripts implicated in androgen receptor (AR) signaling, cellular proliferation, stem cell, epithelial and mesenchymal cell fate, and leukocyte-specific lineage (Fig. 7C). Single cells from the human prostate cancer cell line LNCaP were used to optimize assay conditions (Fig. S12).

A marked heterogeneity was apparent among 15 CTCs isolated from a single patient with metastatic CRPC who had progressed through multiple lines of therapy, including androgen deprivation therapy with leuprolide, the chemotherapeutic drug docetaxel, and the second-line androgen biosynthesis inhibitor abiraterone acetate. Consistent with EpCAM+ immunostaining, 13 of the 15 CTCs were positive for epithelial gene expression, of which 2 CTCs were dual positive for epithelial as well as mesenchymal markers vimentin and N-cadherin (Fig. 7D). Thus, a subset of CTCs appears to have undergone partial EMT. CTC heterogeneity was also evident with expression of stem cell markers [Nanog, Oct-4 (POU5F1), and c-Myc] in 10 of the 15 CTCs, which overlapped primarily with epithelial markers within individual CTCs (Fig. 7C). Proliferation markers cyclin B, cyclin D, Aurora A kinase, and MYBL2 were detected in another subset of seven CTCs.

AR activity, previously defined in CTCs as the ratio of androgen-driven PSA to androgen-repressed PSMA expression (21), was heterogeneous among CTCs. The “AR on” phenotype (PSA expression only) was only seen in 2 of the 15 CTCs, whereas the “AR-off” state (PSMA only) was evident in 2 CTCs, and the “mixed AR” state (PSA+/PSMA−) in 10 CTCs (Fig. 7D). This distribution is concordant with single-cell immunofluorescence analysis of AR signaling status in CTCs from patients with CRPC (21).

**DISCUSSION**

The CTC-iChip described here has the ability to process large volumes of whole blood (8 ml/hour), with high throughput (107 cells/s) and...
at high efficiency, in positive selection (tumor antigen–dependent) and negative depletion (tumor antigen–independent) modes, thus enabling cytopathological and molecular characterization of both epithelial and nonepithelial cancers. Traditional magnetophoresis requires the attachment of either hundreds of beads per cell or very large beads to provide sufficient magnetic moment for cell isolation (11, 29). In contrast, by virtue of its ability to precisely position cells within the channel using inertial focusing, the fluidic design of the CTC-iChip allows for efficient fractionation of cells with only a few 1-μm beads, resulting in high yields and purity of CTC isolation.

We have tested initial “proof-of-principle” clinical applications of both the positive and negative selection modes of the CTC-iChip. The posCTC-iChip isolated CTCs at a purity of >0.1%, which is sufficient for molecular analyses, including detection of the EML4-ALK fusion transcript in NSCLC. Total CTC capture yield is critical to both genotyping and other applications, including enumeration for either prognostic or drug response measurements. The median number of CTCs detected by posCTC staining of posCTC-iChip product was 3.2 CTCs/ml, with 90% of clinical samples having CK+ cells above the threshold set using healthy donors. In a similar cohort using the CellSearch system, a median of 1.7 CTCs/ml was detected, with 57% of samples above the threshold (30). In our direct comparison between the posCTC-iChip and the CellSearch system, the microfluidic device was significantly more sensitive at low CTC numbers (<30 CTC/7.5 ml). These results suggest that a subpopulation of EpCAMlow expression was missed by the CellSearch bulk processing approach. Thus, whereas current commercially available approaches may be effective in patients with EpCAMhi CTCs, the CTC-iChip displayed increased sensitivity for patients with low numbers of circulating cancer cells, which may also have EpCAMlow expression.

Previously, we demonstrated the efficacy of two microfluidic systems to isolate CTCs from whole blood. CK+ CTCs were detected in 99% of patients with high purity (18 to 70%) in the first-generation micropost chip (15), and application of disease-specific markers for staining (PSA) and computer-assisted enumeration methods were later found to improve system reliability and specificity (19). Building on the improved heuristics and staining, CTCs were subsequently detected in 64% of prostate patients using the first-generation micropost chip (19), and in 93% of patients using the second-generation herringbone chip (16). Yet, these systems remain limited by low throughput (~1 to 2 ml of blood/hour), the inability to conduct single-cell or slide-based analyses, the requirement for three-dimensional image scanning platforms, and the availability of only a positive selection mode. The CTC-iChip system presented here thus encompasses major advances over our previous methods. Whole blood is now processed through a microscale system at speeds comparable to bulk systems (8 ml/hour) while preserving the high sensitivity afforded by microfluidic isolation techniques. Furthermore, rapid and gentle isolation of CTCs, as well as their collection in suspension, increases the integrity of these cells and their RNA quality, which are crucial for downstream analyses, such as cytopathology and single-cell expression profiling.

Moreover, the system can be run in either a positive selection or a negative depletion mode, thus broadening its potential application in the clinic and in basic research studies. The negCTC-iChip allows for depletion of normal blood cells, uncovering an unselected population of nonhematopoietic cells for analysis. The robustness of this platform was demonstrated by staining CTCs per clinical pathology protocols, which yielded high-quality diagnostic images. The negCTC-iChip allowed for isolation of CTCs from a nonepithelial cancer (melanoma) and from cancer that has undergone EMT and lost virtually all detectable EpCAM expression (triple-negative breast cancer). Hence, the negCTC-iChip will be broadly applicable to all cancers that demonstrate vascular invasion, a major limitation of current technologies.

However, several additional optimizations should be considered before the CTC-iChip technology can be deployed for large-scale clinical applications. These include further improvements in CTC purity to facilitate routine molecular analyses of CTCs and in total blood volume processed to enable early cancer detection. From a manufacturing standpoint, we envision the CTC-iChip being integrated into a single monolithic device made of plastic and incorporating all three components of the CTC-iChip within a single footprint. Integration of such an economical chip into a fully automated device would potentially enable broad dissemination of this technology.

The emerging field of CTC biology brings with it unprecedented insight into the mechanisms underlying the blood-borne metastasis of cancer, as well as powerful new clinical applications to help diagnose and manage disease. As the technology matures, these are likely to include the initial genotyping and molecular characterization of cancer, as well as repeated noninvasive sampling of tumors during treatment. Because targeted therapies increasingly shape the clinical paradigm of cancer therapeutics, such serial “real-time” monitoring of cancer for indicators of drug response and emerging resistance is likely to become a mainstay of clinical oncology. The integrated microfluidic technology platform presented here provides a major step in this direction by enabling processing of large blood volumes with high throughput and efficiency, isolating CTCs regardless of tumor surface epitopes, and providing an end product that is compatible with both standardized clinical diagnostics and advanced molecular analyses. Because rare cell detection technologies continue to improve in sensitivity, they may ultimately provide novel approaches for early detection of invasive cancer before the establishment of metastatic disease.

MATERIALS AND METHODS

Samples
MDA-MB-231, SKBR3, and MCF10A cell lines were obtained from the American Type Culture Collection. PC3-9 cells were obtained from Veridex, LLC, and LBX1-expressing MCF10A cells were derived from a stable cell line previously published by our laboratory (27). Device performance was evaluated by prelabeling the cell lines with a fluorescent marker and spiking them into whole blood at ~200 to 1000/ml of whole blood (Supplementary Materials and Methods).

Fresh whole blood was collected from healthy volunteers under an Institutional Review Board (IRB)–approved protocol or commercially sourced from Research Blood Components. Samples from metastatic breast, colorectal, pancreas, lung, melanoma, and prostate cancer patients were collected under a separate IRB-approved protocol.

Chip design and fabrication
Hydrodynamic sorting chips were designed at Massachusetts General Hospital (MGH) and fabricated by Silex with deep reactive ion etching on silicon wafers. The chip was sealed with anodically bonded glass cover to form the microfluidic chamber. A custom polycarbonate manifold was used to form the fluidic connections to the microchip (fig. S3). The
inertial focusing and magnetophoresis chips were designed and fabricated at MGH with soft lithography and polydimethylsiloxane (fig. S4). The chip was placed within a custom stainless steel manifold that held four magnets in a quadrupole configuration to create a magnetic circuit enabling cell deflection (fig. S5) (Supplementary Materials and Methods).

**Magnetic bead labeling of target cells in whole blood**

Before processing the whole blood, samples were incubated with functionalized magnetic beads 1 μm in diameter (Dynal MyOne 656-01, Life Technologies) (fig. S2). For posCTC-iChip, beads were functionalized with a biotinylated anti-EpCAM antibody, and active magnetic mixing was applied to achieve good labeling of EpCAMlow cell lines. For negative depletion, anti-CD45 and anti-CD15 functionalized beads were used (Supplementary Materials and Methods).

**Immunofluorescence staining of CTCs**

For enumeration analysis, isolated cells were incubated with saponin, DAPI, and anti-CK [phycoerythrin (PE)] and anti-CD45 [allophycocyanin (APC)] antibodies, acquired from Veri-Cell, still in suspension. Cells were plated on a poly-l-lysine–functionalized glass slide with a closed chamber (fig. S8), and glass slide was scanned with the BioView imaging system while the chamber was still intact. Cells that were CK+/DAPI−/CD45− were scored as CTCs. Samples evaluated for PSA/PSMA expression were stained with a primary/secondary approach. All antibodies are catalogued in table S3.

**RT-PCR analysis**

RNA isolation was done with RNeasy Micro Kit (Qiagen). After RNA isolation, reverse transcription of RNA to complementary DNA (cDNA) using oligo(dT) was performed with SuperScript III First-Strand Synthesis System for RT-PCR (Invitrogen). For detection of EML4–ALK fusion cDNAs, partial nested PCR analysis was done with FideliTaq PCR Master Mix (Affymetrix). PCR amplification was performed in a thermocycler (Peltier Thermal Cycler, MJ Research). Gel electrophoresis was done with an aliquot of RT-PCR products. The amplified EML4–ALK products were sequenced, and results were analyzed with the ABI PRISM DNA sequence analysis software (Applied Biosystems) (Supplementary Materials and Methods).

**Cytology and ICC**

CTCs were enriched via negCTC-iChip from the whole blood of cancer patients and plated on a poly-l-lysine surface (fig. S8). Plating chamber was removed after cell adhesion to facilitate standard cytopathology processing. Pap stain was done with hematoxylin, eosin–azure, and orange G and initially reviewed for suspicious cells by a certified cytotechnologist (N. Hartford, MGH) and then formally reviewed by a staff cytopathologist (E.B.). Slides were then destained and exposed to ICC process (Supplementary Materials and Methods).

**Single-cell micromanipulation and qRT-PCR**

Blood samples from a patient with metastatic prostate cancer were processed through the negCTC-iChip, and unfixed CTCs and contaminating leukocytes were stained in solution with fluorophore-conjugated antibodies against EpCAM and CD45. Single CTCs were identified based on an EpCAM+/CD45− phenotype and transferred under direct microscopic visualization to individual PCR tubes with a TransferMan NK2 micromanipulator (Eppendorf AG). Single-cell cDNA was prepared and amplified for single-cell transcriptome analysis, followed by specific target preamplification (Fluidigm Corp.). Microfluidic qRT-PCR was performed with the BioMark Real-Time PCR system (Fluidigm Corp.). The normalized gene expression in each cell (−ΔCt) was calculated as the negative of the difference between the Ct value for each gene and the GAPDH Ct value for the cell. Heat maps of normalized gene expression (−ΔCt) were generated with the Heat Map image module of GenePattern, with global color normalization.

**Supplementary materials**

www.sciencetranslationalmedicine.org/cgi/content/full/5/179/179ra47/DC1

**References and Notes**


Competing interests: MGH filed for patent protection for the CTC-iChip technology. The authors declare that they have no competing interests. Data and materials availability: Biotinylated anti-EpCAM mouse monoclonal IgG1 (clone VU-ID9), PE-conjugated anti-CX3/18 mouse monoclonal IgG1 (clone C11), PE-conjugated anti-CX9/19 mouse monoclonal IgG2a (clone A53-B/A2), APC-conjugated anti-CD45 mouse monoclonal IgG1 (clone H53), nuclear staining reagent DAPI, and cell marker permeabilization reagent saponin were obtained under a Materials Transfer Agreement from Johnson & Johnson (contact: David Chianna). Anti-PSMA monoclonal mouse IgG1 antibody (clone JS91) was obtained under Materials Transfer Agreement from Weill Medical College (contact: Neil Bander).

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